



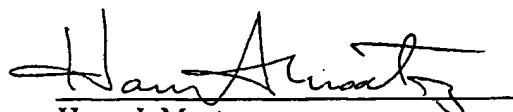
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: December 6, 2003**

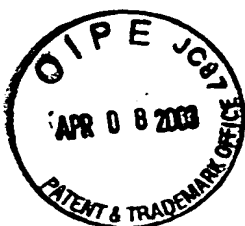
  
Harry I. Moatz  
Director of Enrollment and Discipline

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#12



PATENTS  
CF-34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Gilbert et al.  
Application No. : 10/047,607 Confirmation No. : 5741  
Filed : January 14, 2002  
For : SYSTEMS AND METHODS FOR ELECTRONIC  
TRADING THAT PERMIT PRINCIPAL/BROKER  
TRADING  
Group Art Unit : 2164

New York, New York 10020  
April 3, 2003

Box DAC  
Hon. Commissioner for Patents  
Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c)  
OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicants hereby notify the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

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The earliest such filing occurred on May 24, 2002, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202  
on April 3, 2003

CLARENCE J. S. [Signature]

Name of Person Signing Certificate

[Signature]  
Signature of Person Signing Certificate

April 3, 2003  
Date of Signature

Respectfully submitted,

[Signature]

Hassan Albakri  
(Limited Recognition)  
Agent for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000

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